



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 9, 2001.

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CR/2123  
PATENT  
#5  
1-17-02

Applicant : Kelly G. Johnson, et al.  
Application No. : 09/539,197  
Filed : March 30, 2000  
Title : MULTI-CHANNEL, MULTI-SERVICE DEBUG ON A  
PIPELINED CPU ARCHITECTURE  
Grp./Div. : 2123  
Examiner : To be assigned  
Docket No. : 41163/CAG/B600

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER § 1.97(e)(1)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
November 9, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 with a listing of references that are known to applicants. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicants also request that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicants with the next communication from the Office in accordance with MPEP § 609.

Applicants' undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

**Application No.09/539,197**

in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By Craig A. Gelfound  
Craig A. Gelfound  
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626/795-9900

CAG/cam

Enclosures: PTO 1449, w/references (4)

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